



## NCPI PARTNERSHIP PROCESS

The NCPI Partnership Process is designed around a deep understanding of your financial concerns, the most important goals in your life and the unique relationship you share with your Financial Advisor. Below is an illustration of the roadmap for thoughtful and deliberate decision-making, directly affecting how you achieve your objectives.

### COMMUNICATION & REVIEW

At agreed-upon intervals, your Financial Advisor will continue the conversation, discussing portfolio progress and addressing any new developments that might affect your plan, goals and lifestyle.

### UNDERSTANDING

**The process begins** with a conversation where goals & expectations are defined. Financial resources, investment experience, risk tolerance and demographic information are gathered.

### MONITORING

On an ongoing basis, your Financial Advisor will review your portfolio, in light of your goals as well as changing market and economic conditions, to ensure that you are “on track” to achieve your objectives.



### ANALYSIS

The following are then considered:

- Assets, Liabilities, Cash Flows
- Estate Protection
- Goals and Objectives
- Time Horizons
- Tax Status
- Portfolio Construction
- Risk Aversion & Investment Preferences

### IMPLEMENTATION

Once there is mutual agreement on the strategic proposal, the Financial Advisor will take action to implement your long-term plan utilizing our firm’s market and execution expertise in conjunction with our robust trading platforms.

### PROPOSAL

Your Financial Advisor develops a personalized strategy for your financial success. Unlike the typical “product” solutions offered today by most other financial institutions, we build the investment proposal around you, your objectives, your investment preferences, your time frame and your risk tolerance.

**SUCCESS IS A SHARED VISION.**

Visit [www.ncpi.com](http://www.ncpi.com) or call (800) 619-6274.